

# FTS Online Fee Schedule

Effective Date September 1, 2015



## EQUITIES

### Internet Trades

Flat Charge	\$14.95
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- Each transaction is subject to a \$1.00 postage and handling fee.

### Client Services Agent Assisted Trades

\$0.00 to \$5,000.00	\$45.00 plus 0.80% of principal
\$5,000.01 to \$15,000.00	\$45.00 plus 0.60% of principal
\$15,000.01 to \$50,000.00	\$45.00 plus 0.45% of principal
\$50,000.01 to \$100,000.00	\$45.00 plus 0.35% of principal
\$100,000.01+	\$45.00 plus 0.25% of principal

- Each transaction is subject to a \$1.00 postage and handling fee.
- Total transaction is subject to a minimum of \$45.00.

## OPTIONS

\$45.00 plus \$1.50 per contract
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- Each transaction is subject to a \$1.00 postage and handling fee.
- All option transactions are subject to a minimum of \$45.00.

## MUTUAL FUNDS

All Loaded Funds	Follows the schedule set forth by the fund
All No Load Funds	\$25.00 per purchase transaction
All Money Market Funds	\$0.00 (no transaction fee)

<sup>1</sup> Note: Periodic investments, systematic withdrawals, mutual fund exchanges and equity dividend reinvestments are defined as trading activity. All trading activity must settle on, or prior to, the last business day of the current calendar year to exempt an account from the fee. Mutual fund dividend reinvestments are not defined as trading activity.

<sup>2</sup> The National Financial Base Lending Rate (NFBLR) is set at the discretion of National Financial Services LLC (NFS) after considering commercially recognized interest rates, industry conditions regarding the extension of margin credit and general credit conditions.

<sup>3</sup> Note: Passageway Accounts will not be charged an annual retirement fee.

<sup>4</sup> As of the valuation date - please contact your Investment Executive for details.

## OTHER FEES

Fee Description	Fee
Account Transfer (Outgoing)	\$75.00
Service Fee	\$6.00
Aged Legal Items	\$25.00
Annual Custody and Recordkeeping Fee <sup>1</sup>	\$25.00

- Automatically applies when an account holds a position or balance on the last business day of the current calendar year or the account establishment date prior to January 1st of the current calendar year.
- Does not apply when:
  - There is trading activity during the current calendar year. <sup>1</sup>
  - Accounts have a net account value of \$50,000 or greater as of the valuation date.
  - The holder has Enhanced Checking and at least \$25,000 in a brokerage account
  - The holder has a Preferred Checking or Private Bank or PCA Checking Account

Debit Interest Charge	NFBLR <sup>2</sup> plus 3%
Foreign Security Movement	\$75.00
Overnight Mailing Fee	\$10.00
Mutual Fund No-Load Transaction Fee**	\$25.00

\*\*Waived for Passageway account program.

Transfer Agent - Register/Ship	\$25.00
Physical Reorganization Fee	\$25.00
Premiere Select Retirement Annual Fee <sup>3, 4</sup>	\$50.00
• Market Value of less than \$40,000.00 <sup>4</sup>	\$50.00
• Market Value of \$40,000.00 to \$99,999.99 <sup>4</sup>	\$35.00
• Market Value of \$100,000.00 or greater <sup>4</sup>	\$0.00

Premiere Select Retirement Termination Fee	\$95.00
Research Fees (per item)	\$10.00
Return Check	\$50.00
Stop Payment on Check	\$30.00
Trade Settlement Extensions	\$30.00
Wire Transfer	\$15.00

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