

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**002**  
**FIFTH THIRD SECURITIES, INC.**  
**MD 1M0B2A**  
**5050 KINGSLEY DRIVE**  
**CINCINNATI, OH 45263**

**SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2015**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 83.36%  |
| Limit orders as percentage of total non-directed orders    | 14.10%  |
| Other orders as percentage of total non-directed orders    | 2.54%   |

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

|                                    |        |
|------------------------------------|--------|
| 1. KCG Americas LLC                | 40.16% |
| 2. Citadel Securities LLC          | 34.74% |
| 3. UBS Securities, LLC             | 7.47%  |
| 4. EDGX Exchange, Inc.             | 4.86%  |
| 5. Citigroup Global Markets, Inc.  | 3.75%  |
| 6. New York Stock Exchange         | 2.50%  |
| 7. Goldman, Sachs & Co.            | 2.01%  |
| 8. BATS Y-Exchange, Inc.           | 1.09%  |
| 9. National Financial Services LLC | 1.09%  |
| 10. Two Sigma Securities, LLC      | 0.88%  |

**Information Concerning Significant Venues :**

1. KCG Americas LLC

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 42.49% |
| Limit orders as percentage of total limit orders   | 24.59% |
| Other orders as percentage of total other orders   | 50.00% |

2. Citadel Securities LLC

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 38.44% |
| Limit orders as percentage of total limit orders   | 14.36% |
| Other orders as percentage of total other orders   | 26.52% |

3. UBS Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 8.25% |
| Limit orders as percentage of total limit orders   | 2.86% |
| Other orders as percentage of total other orders   | 7.32% |

4. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 34.49% |
| Other orders as percentage of total other orders   | 0.00%  |

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 4.34% |
| Limit orders as percentage of total limit orders   | 0.77% |
| Other orders as percentage of total other orders   | 0.91% |

6. New York Stock Exchange

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.01%  |
| Limit orders as percentage of total limit orders   | 17.66% |
| Other orders as percentage of total other orders   | 0.00%  |

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 2.22% |
| Limit orders as percentage of total limit orders   | 1.05% |
| Other orders as percentage of total other orders   | 0.30% |

8. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.23% |
| Limit orders as percentage of total limit orders   | 0.50% |
| Other orders as percentage of total other orders   | 0.00% |

9. National Financial Services LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.00% |
| Limit orders as percentage of total limit orders   | 1.16% |
| Other orders as percentage of total other orders   | 3.96% |

10. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.90% |
| Limit orders as percentage of total limit orders   | 0.83% |
| Other orders as percentage of total other orders   | 0.30% |

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 81.18%  |
| Limit orders as percentage of total non-directed orders    | 15.83%  |
| Other orders as percentage of total non-directed orders    | 3.00%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|                                     |        |
|-------------------------------------|--------|
| 1. KCG Americas LLC                 | 37.58% |
| 2. Citadel Securities LLC           | 36.95% |
| 3. UBS Securities, LLC              | 7.29%  |
| 4. EDGX Exchange, Inc.              | 5.33%  |
| 5. Citigroup Global Markets, Inc.   | 3.27%  |
| 6. Nasdaq                           | 2.06%  |
| 7. Goldman, Sachs & Co.             | 1.77%  |
| 8. BATS Y-Exchange, Inc.            | 1.41%  |
| 9. Two Sigma Securities, LLC        | 1.23%  |
| 10. National Financial Services LLC | 1.07%  |

### Information Concerning Significant Venues :

#### 1. KCG Americas LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 40.17% |
| Limit orders as percentage of total limit orders   | 20.78% |
| Other orders as percentage of total other orders   | 56.25% |

#### 2. Citadel Securities LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 40.42% |
| Limit orders as percentage of total limit orders   | 19.59% |
| Other orders as percentage of total other orders   | 34.66% |

#### 3. UBS Securities, LLC

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 8.48% |
| Limit orders as percentage of total limit orders   | 1.94% |
| Other orders as percentage of total other orders   | 3.41% |

#### 4. EDGX Exchange, Inc.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 33.69% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 5. Citigroup Global Markets, Inc.

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 3.90% |
| Limit orders as percentage of total limit orders   | 0.65% |
| Other orders as percentage of total other orders   | 0.00% |

6. Nasdaq

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 13.02% |
| Other orders as percentage of total other orders   | 0.00%  |

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 2.08% |
| Limit orders as percentage of total limit orders   | 0.54% |
| Other orders as percentage of total other orders   | 0.00% |

8. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.72% |
| Limit orders as percentage of total limit orders   | 0.11% |
| Other orders as percentage of total other orders   | 0.00% |

9. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.24% |
| Limit orders as percentage of total limit orders   | 1.40% |
| Other orders as percentage of total other orders   | 0.00% |

10. National Financial Services LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.07% |
| Limit orders as percentage of total limit orders   | 1.18% |
| Other orders as percentage of total other orders   | 0.57% |

## Securities Listed on NYSE MKT or Regional Exchanges

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 88.66%  |
| Limit orders as percentage of total non-directed orders    | 10.24%  |
| Other orders as percentage of total non-directed orders    | 1.10%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|                                    |        |
|------------------------------------|--------|
| 1. Citadel Securities LLC          | 37.66% |
| 2. KCG Americas LLC                | 36.06% |
| 3. UBS Securities, LLC             | 9.38%  |
| 4. EDGX Exchange, Inc.             | 4.10%  |
| 5. Two Sigma Securities, LLC       | 3.84%  |
| 6. Citigroup Global Markets, Inc.  | 2.99%  |
| 7. National Financial Services LLC | 1.17%  |
| 8. Goldman, Sachs & Co.            | 1.11%  |
| 9. BATS Y-Exchange, Inc.           | 1.01%  |
| 10. NYSE Arca                      | 0.85%  |

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 40.73% |
| Limit orders as percentage of total limit orders   | 12.25% |
| Other orders as percentage of total other orders   | 26.32% |

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 38.05% |
| Limit orders as percentage of total limit orders   | 16.90% |
| Other orders as percentage of total other orders   | 53.95% |

#### 3. UBS Securities, LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 10.15% |
| Limit orders as percentage of total limit orders   | 1.55%  |
| Other orders as percentage of total other orders   | 19.74% |

#### 4. EDGX Exchange, Inc.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 40.00% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 5. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 4.33% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 3.32% |
| Limit orders as percentage of total limit orders   | 0.42% |
| Other orders as percentage of total other orders   | 0.00% |

7. National Financial Services LLC

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.16%  |
| Limit orders as percentage of total limit orders   | 10.00% |
| Other orders as percentage of total other orders   | 0.00%  |

8. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.12% |
| Limit orders as percentage of total limit orders   | 1.13% |
| Other orders as percentage of total other orders   | 0.00% |

9. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.12% |
| Limit orders as percentage of total limit orders   | 0.14% |
| Other orders as percentage of total other orders   | 0.00% |

10. NYSE Arca

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders   | 8.31% |
| Other orders as percentage of total other orders   | 0.00% |

## Exchange - Listed Options

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders   | 31.42%  |
| Limit orders as percentage of total non-directed orders    | 63.00%  |
| Other orders as percentage of total non-directed orders    | 5.57%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|   |        |
|---|--------|
| 1. Nasdaq Options Market                  | 28.84% |
| 2. NYSE Arca Options                      | 22.13% |
| 3. The Chicago Board Options Exchange     | 14.05% |
| 4. NASDAQ PHLX                            | 13.33% |
| 5. The MIAX Options Exchange              | 8.40%  |
| 6. The Boston Option Exchange             | 3.72%  |
| 7. ISE Gemini Options Exchange            | 3.55%  |
| 8. NYSE Amex Options                      | 3.07%  |
| 9. BATS Options Exchange, Inc.            | 2.10%  |
| 10. The International Securities Exchange | 0.32%  |

### Information Concerning Significant Venues :

#### 1. Nasdaq Options Market

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 45.77% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 2. NYSE Arca Options

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 35.00% |
| Other orders as percentage of total other orders   | 1.45%  |

#### 3. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 31.88% |
| Limit orders as percentage of total limit orders   | 4.23%  |
| Other orders as percentage of total other orders   | 24.64% |

#### 4. NASDAQ PHLX

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 24.68% |
| Limit orders as percentage of total limit orders   | 5.38%  |
| Other orders as percentage of total other orders   | 39.13% |

#### 5. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 22.11% |
| Limit orders as percentage of total limit orders   | 2.05%  |
| Other orders as percentage of total other orders   | 2.90%  |

6. The Boston Option Exchange

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 10.03% |
| Limit orders as percentage of total limit orders   | 0.26%  |
| Other orders as percentage of total other orders   | 7.25%  |

7. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 1.03%  |
| Limit orders as percentage of total limit orders   | 3.72%  |
| Other orders as percentage of total other orders   | 15.94% |

8. NYSE Amex Options

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 7.97% |
| Limit orders as percentage of total limit orders   | 0.77% |
| Other orders as percentage of total other orders   | 1.45% |

9. BATS Options Exchange, Inc.

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.51% |
| Limit orders as percentage of total limit orders   | 2.56% |
| Other orders as percentage of total other orders   | 5.80% |

10. The International Securities Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.77% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 1.45% |